



PATENT

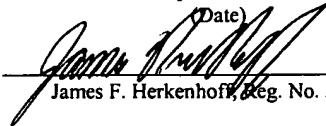
Case Docket No. WEBSEN.030A  
Date: July 15, 1004

#9  
LDJ  
7-29-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hegli, et al.  
Appl. No. : 10/010,282  
Filed : December 5, 2001  
For : FILTERING TECHNIQUES  
FOR MANAGING ACCESS TO  
INTERNET SITES OR OTHER  
SOFTWARE APPLICATIONS  
Examiner : Hosain T. Alam  
Group Art Unit : 2155

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450, on

July 15, 2004  
  
(Date)  
James F. Herkenhoff, Reg. No. 51,241

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TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement.
- A PTO Form 1449 with seven (7) references.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard.

  
\_\_\_\_\_  
James F. Herkenhoff  
Registration No. 51,241  
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(619) 235-8550



## INFORMATION DISCLOSURE STATEMENT #9

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Group Art Unit : 2155

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7/15/04

By:   
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

 <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>  <b>(USE SEVERAL SHEETS IF NECESSARY)</b>	<b>ATTY. DOCKET NO.</b> <b>WEBSEN.030A</b>	<b>APPLICATION NO.</b> <b>10/010,282</b>
	<b>APPLICANT</b> Hegli, et al. <span style="float: right;">#9</span>	
	<b>FILING DATE</b> December 5, 2001	<b>GROUP</b> 2155

## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	2	Supplementary European Search Report for EPO App. No. 00 90 7078, mailed May 18, 2004
	3	Roberts-Witt, S., The 1999 Utility Guide: Corporate Filtering, PC Magazine Online, 04/05/99, pp 1-11
	4	Sequel Technology, Inc., Sequel and Surfwatch Partner to Provide Innovative Internet Resource Management Tools for Large Enterprises, Internet, Online!, 2/25/99, pp. 1-3
	5	SurfWatch Software, SurfWatch® Professional Edition: Product Overview, Internet, Online!, 5/26/99, p. 1
	6	Newman, H., A Look at Some Popular Filtering Systems, Internet, Online!, 07/25/99, pp. 1-11
	7	Secure Computing Corporation, SmartFilter™ Web Tool, 12/1/98, pp. 1-2

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